

**Radiation Effects Research Foundation
Framework Concerning Plans for Prevention of Misuse of
Research Funds**

(Purpose)

Article 1 – The purpose of this framework is to, based on paragraph 2 of Article 8 of the Radiation Effects Research Foundation Regulations Concerning Prevention of and Response to Misuse of Research Funds (hereinafter referred to as “Regulations for Prevention of Misuse,” stipulate the items necessary to establish and implement plans for prevention of misuse of research funds at the Radiation Effects Research Foundation (hereinafter referred to as “this juristic person”).

(Definition)

Article 2 – In this framework, for the definitions of “employees and others,” “research funds,” and “misuse,” the provisions of paragraphs 1 to 3 of Article 2 of the Regulations for Prevention of Misuse shall apply mutatis mutandis.

(Development of plans for prevention of research fund misuse)

Article 3 – The Chairman shall establish plans for prevention of misuse of research funds (hereinafter referred to as “fund misuse prevention plans”).

2. When such fund misuse prevention plans are devised, the Chairman may establish a promotional unit concerning the plans for prevention of research fund misuse (hereinafter referred to as the “promotional unit”), as stipulated in Article 5 below, and have the promotional unit review such plans.

(Implementation of fund misuse prevention plans)

Article 4 – Employees and others shall implement the fund misuse prevention plans.

2. The Chairman shall strive to manage the progress in implementation of the plans.

(Establishment of the promotional unit and their activities)

Article 5 – The Chairman shall appoint with the attached form the Chief of Secretariat and staff members selected from among the persons handling research funds-related work toward establishment of the promotional unit.

2. The term of office of the promotional unit members appointed in accordance with provisions of the preceding paragraph shall be two years, with reappointment possible.
3. When replacement of a promotional unit member is required during the term of office stipulated in the preceding paragraph due to personnel transfer or other reasons, the Chairman shall appoint a successor to serve the remaining term of office in a timely manner.
4. The promotional unit shall detect factors for occurrence of such misuse and perform analysis; the unit shall also develop fund misuse prevention plans and engage in regular review of these plans.
5. The promotional unit shall submit the finalized fund misuse prevention plans, as mentioned in the preceding paragraph, to the Chairman and also make these plans widely known to employees and others. In the case of review of these plans, the same procedures shall be taken.

6. To perform duties stipulated in paragraphs 4 above, the promotional unit shall collect opinions from employees and others on an as-needed basis.
7. The administrative work of the promotional unit shall be performed by the General Affairs Section of the Secretariat.

(Authority of the promotional unit)

Article 6 – The promotional unit shall have the following authority in order to perform its duties stipulated in paragraphs 4 of the preceding article.

- (1) The authority to request that employees and others submit related materials and/or explain facts concerning operation and management of research activities
- (2) The authority to request that the Chairman disclose results of internal audits of external research funds
- (3) The authority to exchange opinions and information with Auditors when deemed necessary and approval from the Chairman has been obtained.

Effective Date

This framework shall be effected from April 1, 2012.

Effective Date

This framework shall be effected from October 8, 2015.

Effective Date

This framework shall be effected from October 7, 2016.

Effective Date

This framework shall be effected from April 1, 2020.

Effective Date

This framework shall be effected from April 1, 2021.

Form (relevant to Article 5)

(month) (date), (year)

Notice of appointment of member of the promotional unit concerning the plans for prevention of research fund misuse

To: (name of unit member)

From: Chairman
Radiation Effects Research Foundation

I hereby appoint you to serve as a member of the promotional unit concerning the plans for prevention of research fund misuse.

1. Members

| | |
|------------|---|
| Name _____ | Title/Dept. <u>Chief of Secretariat</u> |
| Name _____ | Title/Dept. _____ |
| Name _____ | Title/Dept. _____ |
| Name _____ | Title/Dept. _____ |
| Name _____ | Title/Dept. _____ |
| Name _____ | Title/Dept. _____ |
| Name _____ | Title/Dept. _____ |
| Name _____ | Title/Dept. _____ |

2. Term

From _____ to _____

3. Remarks